

Items	Charges	Minimum	Maximum
<b>Hong Kong Securities Trading Services</b>			
Brokerage Commission (Via Non -electronic services)	From 0.25% (based on transaction amount)	HKD100.00	N/A
Brokerage Commission (Via Electronic services)	From 0.15% (based on transaction amount)	HKD100.00	N/A
Stamp Duty (Collected by the HKSAR Government)	0.10% (rounded up to the nearest dollar) (based on transaction amount)	HKD1.00	N/A
Transaction Levy (Collected by the SFC)	0.0027% (based on transaction amount)	N/A	N/A
Trading Fee (Collected by the SEHK)	0.005% (based on transaction amount)	N/A	N/A
CCASS Stock Settlement Fee	0.002% (based on transaction amount)	HKD2.00	HKD100.00
Brokerage Commission (Apply on Grey Market only)	An additional 0.05% (based on transaction amount)	N/A	N/A
CCASS Stock Settlement Fee (Apply on Grey Market only)	0.01% (based on transaction amount)	HKD3.00	HKD300.00
<b>Trading under Shanghai / Shenzhen Connect (China Connect)</b>			
Handling Fee	0.00487% of the consideration of a transaction per side	N/A	N/A
Management Fee	0.002% of the consideration of a transaction per side	N/A	N/A
Management Fee	0.004% of the consideration of a transaction per side	N/A	N/A
Management Fee	0.10% of the consideration of a transaction on the seller	N/A	N/A
<b>CCASS</b>			
Handling Fee on Physical Scrip Withdrawal	HKD5.00 per board lot , additional HKD200.00 per stock	N/A	N/A
Handling Fee on Physical Scrip Deposit	Waived, Client has to pay HKD5.00 per transfer deed which is collected by HKSAR Government for stamp duty	N/A	N/A
Stock Delivery by SI	0.05% of the total value of the previous closing day of each stock	HKD100.00	N/A
Stock Receipt by SI	Waived	N/A	N/A
Individual Investor Account (ISI) (Delivery / Receipt)	Waived	N/A	N/A
Compulsory Shares Buy-Back	HKD200.00 per transaction, plus fees collected by CCASS & HKEx	HKD200.00	N/A
<b>Nominee Services</b>			
Share Registration and Transfer Fee	HKD2.00 per board lot	HKD2.00	N/A
Collection of Cash Dividend (Or Scrip Dividend)	0.25% of the gross dividend (Including 0.12% CCASS fee)	HKD30.00	N/A
Collection Fee on Bonus Shares	HKD30.00 per stock	HKD30.00	N/A
Handling Fee on Right Issues/ Warrants Entitlement	Waived	N/A	N/A
Handling Fee on Cash Offer/ Privatization/ Auto-Exercise	0.20% of the gross cash offer amount (Min: HKD30.00, Max: HKD10,000.00) Plus fees collected by CCASS and HKEx	HKD30.00	HKD10,000.00
Rights/Warrants/ Covered Warrants Exercise Fees	Handling Fee for each transaction is HKD50.00 plus fees collected by CCASS and HKEx, HKD0.80 per board lot (odd lots treated as one board lot)	HKD50.00	N/A
Application Fee for Excess Rights Subscription	HKD50.00 per application	HKD50.00	N/A
Corporate Action (Share split/ Consolidation/ Conversion)	Waived	N/A	N/A
Service Fee	HKD500.00 each	HKD500.00	N/A
<b>IPO &amp; Financing Services</b>			
Placing Handling Fee	HKD200.00 per application, plus brokerage commissions and related expenses	HKD200.00	N/A
IPO application (act on behalf of the client)	Waived	N/A	N/A
IPO Subscription Fee (Nominee/ eIPO )	Waived	N/A	N/A
Financing for IPO Subscription	Loan interest, plus HKD100.00 handling fee	N/A	N/A
Cash Account Overdue Interest	P + 10.50% p.a. (P refers to the borrowing prime rate of CISIBL)	N/A	N/A
Margin Account Debit Interest Rate	P + 3.00% p.a. (borrowings lower than margin value of stock collateral); P + 8.00% p.a. (Applicable to borrowings exceeding the margin value of stock collateral) (P refers to the borrowing prime rate of SCSIB)	N/A	N/A
<b>Other Services</b>			
Custody Fee	Waived	N/A	N/A
Dormant Account Fee	Waived	N/A	N/A
Collection Fee of Dividend Claim	HKD500.00 each, plus HKD100.00 per dividend, relevant costs may be charged by other institutions	N/A	N/A
Handling Fee on Providing Asset Confirmation or Other Ad-Hoc Service Request	HKD200.00 each	HKD200.00	N/A

Handling Fee for mailing Statement	HKD360.00 per year	N/A	N/A
Handling Fee on Providing Extra Statement/Transaction Record	Waived within 3 months. 3 Months or above HKD100.00 each	N/A	N/A
Stop Cheque Payment Fee	HKD200.00 each	HKD200.00	N/A
Bounced Cheque Fee	HKD200.00 each	HKD200.00	N/A
Retrieving Cheque Image	HKD500.00 each	HKD500.00	N/A
Telegraphic Transfer (PRC/Overseas) (Foreign Currency)	HKD50.00 per transaction, plus additional financial institution fees	HKD50.00	N/A
CHATS	HKD50.00 per transaction, plus additional financial institution fees	HKD50.00	N/A
ICBC Sub-Account	HKD60.00 annually	N/A	N/A
<b>Online Real-time Quote</b>			
TSCI (China Region) (Other Regions)	HKD 200.00/ month (Professional Version)	N/A	N/A
	HKD 400.00/ month (Professional Version)	N/A	N/A
	Snap-shot Real-time Quote: HKD 0.07/ click (first 200 time Waived)	N/A	N/A
<b>Oversea Securities Services</b>			
Collection of Cash Dividend (Or Scrip Dividend)	0.050% of the gross dividend (Plus local exchange, all related authorities, or bank-related costs) #	Equivalent to HKD250.00 *	N/A
Collection Fee on Bonus Shares	HKD300.00 per stock (Plus local exchange, all related authorities, or bank-related costs)	HKD300.00	N/A
Corporate Action (Stock split / Merge / Convert / Rights subscription / Warrant Exercise / Cash Offer / Privatization / Auto-Exercise / Voting etc.)	HKD300.00 per stock (Plus local exchange, all related authorities, or bank-related costs)	HKD300.00	N/A
Oversea Securities physical stock deposit	USD 100.00 per stock (Plus local exchange, all related authorities, or bank-related costs)	USD100.00	N/A
Oversea Securities stocks Transfer	USD 30.00 per stock (Plus local exchange, all related authorities, or bank-related costs)	USD30.00	N/A
Custody Fee	Subject to different markets (Please call Customer Services for details)	N/A	N/A
<b>Bond Services</b>			
Commission	Negotiable	N/A	N/A
Custody Fee	0.05% p.a. of the bond market value (Collected at every month-end)	HKD100.00	N/A
Trading Fee	HKD300.00	N/A	N/A
Transfer Fee	HKD300.00	N/A	N/A
Coupon interest collection fees	0.50% of actual dividend amount received	HKD 50.00	N/A
Conversion Fee	HKD500.00	N/A	N/A
Redemption Fee	HKD300.00	N/A	N/A
Early Redemption Fee	HKD500.00	N/A	N/A
Corporate Action	HKD300.00 per transaction (Plus local exchange, all related authorities, or bank-related costs) #	HKD300.00	N/A
<b>Fund Services</b>			
Subscription	on or above 1.00% (based on Subscription amount) #	N/A	N/A
Redemption	on or above 1.00% (based on Redemption amount) #	N/A	N/A

Note:

\* According to SCSIB foreign exchange rate

# Subject to payment currency