



現有客戶開立股票期權交易帳戶申請表

Application Form for opening Stock Options Trading Account(s) for Existing Client

帳戶名稱 Account Name		帳戶號碼 Account Number	
聯絡電話 Contact Number		日期 Date	
持牌代表: Name of AE:		持牌代表聯絡電話: AE Contract: Ext.:	

I/We _____ (ID No./ Passport No.: _____) hereby apply to open **Stock Options Trading Account(s)** in the name of _____ with your company, while all personal information and agreed terms and conditions would be the same as my/ our existing account stated above, but excluding operational authorization arrangements. 本人/吾等 _____ (身份證/ 護照號碼: _____) 謹此向貴公司申請開立**股票期權交易帳戶**，帳戶名稱為 _____，所有個人資料、已同意的條款及條件均與本人/吾等上述現有帳戶相同，但不包括授權操作帳戶之安排。

Account Opening supplementary information 客戶開戶補充資料：

Are you the ultimate beneficial owner(s) of Stock Options Trading Account(s)? 閣下是否股票期權交易帳戶的最終實益擁有人？	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否 (Please specify the name of the beneficial owner(s) 請說明最終實益擁有人為： _____)
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Declaration and Signature 聲明及簽署

- I/We declare that my/ our current personal information is same as the latest record filed with China Investment Securities Brokerage International Limited ("CISIBL"). I/We consent to the use of the personal information of my/our existing account in CISIBL for the purpose of opening Stock Options Trading account(s). 本人/吾等聲明本人/吾等現時之個人資料與最新留存於中投證券國際經紀有限公司 ("中投證券國際")之紀錄一樣，並同意將本人/吾等於中投證券國際之現有帳戶之個人資料用作開設股票期權交易帳戶之用。
- I/We confirm that I/We have received, read and understood the "Stock Option Trading Agreement" applicable to me/us including the relevant Risk Disclosure Statements and Disclaimers and any other supplements which may be entered into in writing between CISIBL and me/us from time to time. Client confirms that the Client have read, understood and accepted the "Stock Option Trading Agreement", the fees schedule of stock options trading, the reporting requirements and the responsibilities of reporting as set out in the Rules for Options Trading Participants of the Stock Exchange and the Securities and Futures. 本人/吾等證實已收取、閱讀及明白 "股票期權買賣協議"，包括有關風險披露聲明及免責聲明及任何由本人/吾等與中投證券國際不時訂立之書面補充條款及條件。於下方簽署開戶前，客戶確認已細閱、明白及同意中投證券國際之股票期權交易之條款及條件，股票期權之交易費用表以及期權交易所規則、證券及期貨(合約限量及須申報的持倉量)規則以及由證券及期貨事務監察委員會發出的有關指引內的申報規定及責任。
- I/We hereby accept and agree to be bound by the Stock Option Trading Agreement. CISIBL may amend the Stock Option Trading Agreement from time to time by giving me/us notice of such amendment. Unless CISIBL has received my/our request to terminate the account(s), I/we shall be deemed to have accepted such changes applicable to the account(s). 本人/吾等特此接納及同意受股票期權交易協議約束。中投證券國際有權在任何時候以書面通知更改條款及條件，除非中投證券國際收到本人/吾等終止帳戶通知，否則表示本人/吾等接受該更改條款及條件。
- I/We hereby certify, declare and acknowledge that I/we have received and fully understood the risk disclosure statement in the language of my/our choice. I/We have been invited to read the risk disclosure statement, ask questions and take independent advice. 本人/吾等現聲明及確認已獲得並完全瞭解按照本人/吾等選擇之語言之風險披露聲明，本人/吾等已獲邀閱讀此風險披露聲明，提出問題及徵求獨立意見。
- I/We understand that the opening of Stock Options Trading account(s) is subject to CISIBL's final acceptance. 本人/吾等明白中投證券國際有最終接納開立股票期權交易帳戶與否之權利。

客戶簽署 Client's Signature(s)

請用留存於中投證券國際紀錄之簽署式樣 Please use signature(s) filed with CISIBL.

Risk Disclosure Statement 風險披露聲明 (to be Completed by the Company 由本公司填寫)	For Official Use Only			
The above mentioned Risk Disclosure Statement(s) has/ have been provided to the Client in a language of the Client's choice and the undersigned registered person of the Company has invited the Client to read the same, ask questions and take independent advice. 上述之風險披露聲明已由本公司下列簽署之註冊人員按照客戶選擇的語言提供，並邀請客戶閱讀該/ 該等風險披露聲明、提出問題及徵求獨立意見。 註冊人簽署 Signature of Registered Person: _____ 註冊人姓名 Name of Registered Person : _____ 中央編號 CE No. : _____ 日期 Date : _____	Commission Rate (%) 佣金收費率			
	Name and Code of AE 經紀姓名及編號			
<input type="checkbox"/> Face to Face 面對面 <input type="checkbox"/> Telephone 電話 _____ (Recorded Line No. 錄音電話號碼) _____ (Time 時間)	S.V.	Inputted by	Checked by	R.O.